

In the Claims:

1. (Original) A method of indexing a database of documents, comprising:

providing a vocabulary of  $n$  terms;

indexing the database in the form of a non-negative  $n \times m$  index matrix  $V$ ,

wherein:

$m$  is equal to the number of documents in the database;

$n$  is equal to the number of terms used to represent the database; and

the value of each element  $v_{ij}$  of index matrix  $V$  is a function of the number of occurrences of the  $i^{\text{th}}$  vocabulary term in the  $j^{\text{th}}$  document;

factoring out non-negative matrix factors  $T$  and  $D$  such that

$V \approx TD$ ; and

wherein  $T$  is an  $n \times r$  term matrix,  $D$  is an  $r \times m$  document matrix, and  $r < nm/(n+m)$ .

2. (Original) The method of claim 1 further comprising deleting said index matrix  $V$ .

3. (Original) The method of claim 2 further comprising deleting said term matrix  $T$ .

4. (Original) The method of claim 1 wherein  $r$  is at least one order of magnitude smaller than  $n$ .



5. (Original) The method of claim 1 wherein  $r$  is from two to three orders of magnitude smaller than  $n$ .

6. (Original) The method of claim 1 wherein entries of said document matrix  $D$  falling below a predetermined threshold value  $t$  are set to zero.

7. (Original) The method of claim 2 wherein  $r$  is at least one order of magnitude smaller than  $n$ .

8. (Original) The method of claim 2 wherein  $r$  is from two to three orders of magnitude smaller than  $n$ .

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9. (Original) The method of claim 2 wherein entries of said document matrix  $D$  falling below a predetermined threshold value  $t$  are set to zero.

10. (Original) The method of claim 3 wherein  $r$  is at least one order of magnitude smaller than  $n$ .

11. (Original) The method of claim 3 wherein  $r$  is from two to three orders of magnitude smaller than  $n$ .



12. (Original) The method of claim 3 wherein entries of said document matrix  $D$  falling below a predetermined threshold value  $t$  are set to zero.

13. (Currently Amended) A method of indexing a database of documents, comprising:

providing a vocabulary of  $n$  terms;

indexing the database in the form of a non-negative  $n \times m$  index matrix  $V$ ,

wherein:

$m$  is equal to the number of documents in the database;

$n$  is equal to the number of terms used to represent the database; and

the value of each element  $v_{ij}$  of index matrix  $V$  is a function of the number of occurrences of the  $i^{\text{th}}$  vocabulary term in the  $j^{\text{th}}$  document;

factoring out non-negative matrix factors  $T$  and  $D$  such that

$V \approx TD$ . The method of claim 1 wherein said factoring out of non-negative matrix factors  $T$  and  $D$  further comprises:

selecting a cost function and associated update rules from the group:

$$\text{cost function } F = \sum_{i=1}^n \sum_{j=1}^m [V_{ij} \log(TD)_{ij} - (TD)_{ij}] \text{ associated with}$$

$$\text{update rules } T_{ik} \leftarrow T_{ik} \sum_j \frac{V_{ij}}{(TD)_{ij}} D_{kj}, \quad T_{ik} \leftarrow \frac{T_{ik}}{\sum_l T_{lk}}, \text{ and } D_{kj} \leftarrow D_{kj} \sum_i T_{ij} \frac{V_{ij}}{(TD)_{ij}},$$



$$\text{cost function } F = \sum_{i=1}^n \sum_{j=1}^m \left[ V_{ij} \log \frac{V_{ij}}{(TD)_{ij}} - (V_{ij}) + (TD)_{ij} \right] \text{ associated with}$$

$$\text{update rules } D_{kj} \leftarrow D_{kj} \frac{\sum_i \frac{T_{ik} V_{ij}}{(TD)_{ij}}}{\sum_l T_{lk}} \quad \text{and} \quad T_{ik} \leftarrow T_{ik} \frac{\sum_j \frac{D_{kj} V_{ij}}{(TD)_{ij}}}{\sum_h D_{kh}}, \text{ and}$$

$$\text{cost function } \|V - TD\|^2 = \sum_{i=1}^n \sum_{j=1}^m (V_{ij} - (TD)_{ij})^2 \text{ associated with update rules}$$

$$D_{kj} \leftarrow D_{kj} \frac{(T^T V)_{kj}}{(T^T TD)_{kj}} \quad \text{and} \quad T_{ik} \leftarrow T_{ik} \frac{(VD^T)_{ik}}{(TDD^T)_{ik}} ; \text{ and}$$

iteratively calculating said update rules so as to converge said cost function toward a limit until the distance between  $V$  and  $TD$  is reduced to or beyond a desired value.

14. (Original) A program storage device readable by machine, tangibly embodying a program of instructions executable by the machine to perform method steps for indexing a database of documents, said method steps comprising:

providing a vocabulary of  $n$  terms;

indexing the database in the form of a non-negative  $n \times m$  index matrix  $V$ ,

wherein:

$m$  is equal to the number of documents in the database;

$n$  is equal to the number of terms used to represent the database; and

the value of each element  $v_{ij}$  of index matrix  $V$  is a function of the number of occurrences of the  $i^{\text{th}}$  vocabulary term in the  $j^{\text{th}}$  document;



factoring out non-negative matrix factors  $T$  and  $D$  such that

$$V \approx TD; \text{ and}$$

wherein  $T$  is an  $n \times r$  term matrix,  $D$  is an  $r \times m$  document matrix, and  $r < nm/(n+m)$ .

15. (Original) A database index, comprising:

an  $r \times m$  document matrix  $D$ , such that

$$V \approx TD$$

wherein  $T$  is an  $n \times r$  term matrix;

$V$  is a non-negative  $n \times m$  index matrix, wherein each of its  $m$  columns represents an  $j^{\text{th}}$  document having  $n$  entries containing the value of a function of the number of occurrences of a  $i^{\text{th}}$  term appearing in said  $j^{\text{th}}$  document; and

wherein  $T$  and  $D$  are non-negative matrix factors of  $V$  and  $r < nm/(n+m)$ ;

and

wherein each of the  $m$  columns of said document matrix  $D$  corresponds to said  $j^{\text{th}}$  document.

16. (Original) A method of information retrieval, comprising:

providing a query comprising a plurality of search terms;

providing a vocabulary of  $n$  terms;

performing a first pass retrieval through a first database representation and scoring  $m$  retrieved documents according to relevance to said query;



executing a second pass retrieval through a second database representation and scoring documents retrieved from said first pass retrieval so as to generate a final relevancy score for each document; and

wherein said second database representation comprises an  $r \times m$  document matrix  $D$ , such that

$$V \approx TD$$

wherein  $T$  is an  $n \times r$  term matrix;

$V$  is a non-negative  $n \times m$  index matrix, wherein each of its  $m$  columns represents an  $j^{\text{th}}$  document having  $n$  entries containing the value of a function of the number of occurrences of a  $i^{\text{th}}$  term of said vocabulary appearing in said  $j^{\text{th}}$  document; and

wherein  $T$  and  $D$  are non-negative matrix factors of  $V$  and  $r < nm/(n+m)$ ;

and

wherein each of the  $m$  columns of said document matrix  $D$  corresponds to said  $j^{\text{th}}$  document.

17. (Original) The method of claim 16 wherein said final relevancy score for any  $j^{\text{th}}$  document is a function of said  $j^{\text{th}}$  document's corresponding entry in said document matrix  $D$  and the corresponding entries in said document matrix  $D$  of the  $\Gamma$  top-scoring documents from said first pass retrieval.

18. (Original) The method of claim 17 wherein said relevancy score function for said  $j^{\text{th}}$  document is proportional to a sum of cosine distances between said  $j^{\text{th}}$  document's corresponding entry in said document matrix  $D$  and each of said



corresponding entries in said document matrix  $D$  of the  $\Gamma$  top- scoring documents from said first pass retrieval.

19. (Original)      The method of claim 16 wherein  $r$  is at least one order of magnitude smaller than  $n$ .

20. (Original)      The method of claim 16 wherein  $r$  is from two to three orders of magnitude smaller than  $n$ .

21. (Original)      The method of claim 16 wherein entries of said document matrix  $D$  falling below a predetermined threshold value  $t$  are set to zero.

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22. (Original)      A program storage device readable by machine, tangibly embodying a program of instructions executable by the machine to perform method steps for information retrieval, said method steps comprising:

providing a query comprising a plurality of search terms;

providing a vocabulary of  $n$  terms;

performing a first pass retrieval through a first database representation and scoring  $m$  retrieved documents according to relevance to said query;

executing a second pass retrieval through a second database representation and scoring documents retrieved from said first pass retrieval so as to generate a final relevancy score for each document; and



wherein said second database representation comprises an  $r \times m$  document matrix  $D$ , such that

$$V \approx TD$$

wherein  $T$  is an  $n \times r$  term matrix;

$V$  is a non-negative  $n \times m$  index matrix, wherein each of its  $m$  columns represents an  $j^{\text{th}}$  document having  $n$  entries containing the value of a function of the number of occurrences of a  $i^{\text{th}}$  term of said vocabulary appearing in said  $j^{\text{th}}$  document; and

wherein  $T$  and  $D$  are non-negative matrix factors of  $V$  and  $r < nm/(n+m)$ ;

and

wherein each of the  $m$  columns of said document matrix  $D$  corresponds to said  $j^{\text{th}}$  document.

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